



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents,  
Washington, D.C. 20231"

on February 19, 2002

*Matthew Boxer*  
MATTHEW BOXER  
Reg. No. 28,495  
Attorney for Applicant(s)

02/19/02  
Date of  
Signature

PATENT

UNUS #01-R461-EDG  
Docket #J6727(C)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 000201

Applicant: Yang et al.

Serial No.: 10/029,717

Filed: December 21, 2001

For: ENHANCED COLOR DEPOSITION FOR HAIR WITH SEQUESTERING AGENTS

Group No.: 1751  
Edgewater, New Jersey 07020  
February 19, 2002

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants' attorney has received a copy of the Official Filing Receipt for the above-identified application and has noted the following errors:

Please amend the inventor's information to read as follows:

--Gabriela Wis-Surel, Cos Cob, CT--

In the event there is a fee for this Patent Office error, please charge our Deposit Account 12-1155.

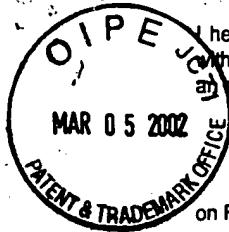
Applicants look forward to receiving the corrected Filing Receipt in due course.

Respectfully submitted,

*Matthew Boxer*  
Matthew Boxer  
Registration No. 28,495  
Attorney for Applicant(s)

MB/lae  
(201) 840-2671

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*HT*  
*SECTOR 44*  
**COPY OF PAPERS  
ORIGINALLY FILED**

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Edgewater, New Jersey 07020

February 19, 2002

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the "Notice of Missing Parts of Application" (copy enclosed) mailed February 5, 2002, from the Patent and Trademark Office, enclosed is a Declaration signed by the inventors.

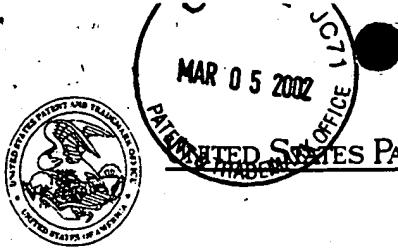
Please deduct the \$130.00 surcharge from Deposit Account 12-1155. Any deficiency or overpayment should be charged or credited to this Deposit Account. This authorization is submitted in triplicate.

Respectfully Submitted,

*Matthew Boxer*

Matthew Boxer  
Registration No. 28,495  
Attorney for Applicant(s)

MB/lae  
Enclosure(s)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/029,717	12/21/2001	1751	740	J6727(C)		17	1

## CONFIRMATION NO. 3860

000201  
UNILEVER  
PATENT DEPARTMENT  
45 RIVER ROAD  
EDGEWATER, NJ 07020

## FILING RECEIPT



OC000000007419619\*

Date Mailed: 02/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jiang Yang, Ridgefield Park, NJ;  
John Brian Bartolone, Bridgeport, CT;  
Gabriela Wis-Surel, Edgewater, NJ; Cos Cob, CT  
Alexander C. Chan, Cranbury, NJ;

## Assignment For Published Patent Application

Unilever Home &amp; Personal Care USA, Division of Conopco, Inc.

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/04/2002

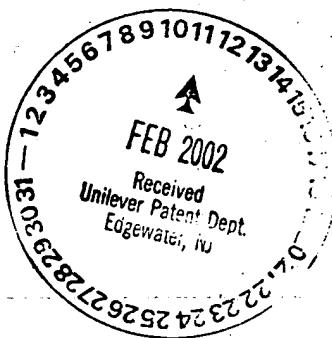
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Enhanced color deposition for hair with sequestering agents



Preliminary Class

008

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

# 3

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,717	12/21/2001	Jiang Yang	J6727(C)

000201  
UNILEVER  
PATENT DEPARTMENT  
45 RIVER ROAD  
EDGEWATER, NJ 07020

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 3860  
FORMALITIES LETTER



\*OC00000007419620\*

Date Mailed: 02/05/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/11/2002 AOSMANI 00000098 121155 10029717

01 FC:105 130.00 CH